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Attorney Docket No.: A7918/T50400
Client Reference No.: A7918

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Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

By: Ohna

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

KIRBY FLOYD

Application No.:

Filed:

For: IN SITU SUBSTRATE HOLDER LEVELING METHOD AND APPARATUS Examiner:

Art Unit:

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.97 and §1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that <u>no fee is required</u> for submission of this statement.

However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 50-1074. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Robert W. Mulcahy Reg. No. 25,436

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PA 3305583 v1

PTO/SB/08A (04-03)

Approved for use through 04/30/2003. OMB 0651-0031

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prepared to a collection of information unless it contains a valid OMB control number

	Substitute for form 1449/PTO				Complete if Known		
					Application Number		
	INFO	RMATION	DIS	CLOSURE	Filing Date		
	STA	<b>TEMENT B</b>	Y A	PPLICANT	First Named Inventor	Floyd, Kirby, et. al.	
					Art Unit		
	(use as many sheets as necessary)				Examiner Name		
7	Page	1	of	1	Attorney Docket Number	016301-050400US	

Document Number			
	<b>-</b>		
	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
US-5,792,269	08/11/1998	Deacon, et al.	
•	)	Number Kind Code <sup>2</sup> (if known) MM-DD-YYYY	Number Kind Code <sup>2</sup> (if known) MM-DD-YYYY Applicant of Cited Document

	FOREIGN PATENT DOCUMENTS							
Examiner	Cite	Foreign Patent Document			Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant	
Initials*	No. <sup>1</sup>	Country Code <sup>3</sup>	Number⁴	Kind Code <sup>6</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	T <sup>6</sup>
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Examiner Signature	Date Considered	

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance

and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). <sup>2</sup> Kind Codes of U.S. Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.